Preparation of the settlement offer

Preparation guide

Navigate to the relevant section for your location

The content of this guide is tailored to national regulations, guidelines, and usage conditions specific to each country.

Triodos Bank United Kingdom

Preparation guide

Triodos Bank

Content

Introduction

Everything you need to know about your settlement offer

Triodos Bank Depository Receipt Holders have consciously embraced the sustainable mission and ideals we stand for. The suspension of trading in Depository Receipts in 2021 and their transition to the MTF has led to dissatisfaction among Depository Receipt holders. We recognise that this situation may have had an impact on them and would therefore like to make a meaningful offer of settlement.

Our settlement offer: €10 per Depository Receipt against the granting of final discharge

We offer you €10 for each Depository Receipt held by the Depository Receipt Holder or organisation you represent on 28 June 2023 in exchange for your agreement to final discharge. Final discharge means that once you accept the settlement offer, the individual of organisation you represent will have no further claims against Triodos Bank or Triodos Bank-Parties in relation to the Depository Receipts.

If you accept the offer, a settlement agreement will be concluded. We will calculate the amount of the settlement offer based on the number of Depository Receipts the individual or organisation you represent held on 28 June 2023. For example: under a settlement offer for 100 Depository Receipts held on that date, the settlement amount is 100 x €10 = €1,000 (gross). For those Depository Receipt Holders based in the UK, you will receive the GBP £ equivalent of the EUR offer amount.

The Depository Receipt Holders you represent retain ownership and associated rights

Accepting the settlement offer will not affect the number of Depository Receipts the individual or organisation you represent hold at the time of acceptance or rejection of this offer. Thus, even if you accept this offer, the Depository Receipts remain the ownership of the individual or organisation you represent and they retain all associated rights, such as dividend entitlement and voting rights.

We will make an offer if a Depositirory Receipt Holder meets the following requirements

- We will make an offer if the individual or organisation you represent held Depository Receipts on 28 June 2023, even if they have sold some or all of their Depository Receipts after 28 June 2023.
- Excluded from this offer are holders of Depository Receipts who have already achieved finality, for example by means of a final verdict.
- If, after acceptance, it becomes apparent that a final judgment has been rendered in a proceeding between the individual or organisation you represent and Triodos Bank regarding a Depository Receipt claim, no settlement agreement will be reached and the person(s) or organisation you represent will not receive any amount.

How to prepare as a legal representative or as an heir or as an executor of a will

Process

Start reviewing and accepting the settlement offer in a few steps

In order to accept your offer of settlement, you'll need to complete the process in three clearly defined steps.

1 Identification & signing authority

To start the process you will need to identify yourself. Once you have identified yourself, you will see an overview of your related investment accounts.
If you are a legal representative or heir, the following label will appear on the screen.

Please confirm signatories

That means that you have to:

A. Provide a complete list of the persons required to sign the settlement agreement (see next page for details)

B. In addition, we ask that you to provide legal proof of authority to sign for each signatory listed (see next page for details).

2 The settlement agreement

Once we have successfully verified the identity of all signatories all signatories will receive a personal invitation to access the settlement offer.

- The electronical signatures of all authorized and required representatives will constitute the acceptance of the settlement offer, including granting Final Discharge. Please note: In case of several signatories only the last electronical signature will legally constitute acceptance of the settlement offer.
- In the case of an open bereavement or multiple representatives, the payment of the settlement amount will be transferred to the bank account number known to us.
- If the Depository Receipts are already allocated to the heirs, please provide the number of Depository Receipts per heir and the bank account details of each heir.

3 Confirmation

Once all signatories have signed the agreement, a confirmation will appear on the screen.

How to prepare as a legal representative or as an heir or as an executor of a will

A List of authorised signatories

Please specify who need to sign the settlement agreement.

Please read carefully - the following is important:

- Enter the personal details of all individuals who are required to sign the settlement agreement in order to ensure valid legal representation.
- Fill in the exact details of the signatories as they appear on their identity document. If these details do not match, they will not be able to sign.
- If you are not sure who is authorised to sign, please check with a legal adviser.
- If you are one of the signatories, do not forget to include yourself in the list.

What you need to provide per signatory

- **Initials** as they appear on their identity card
- **First name(s)** as they appear on their identity card
- **Surname(s)** as they appear on their identity card
- **Date of birth** as they appear on their identity card
- **E-mail address**
- **Number of Depository Receipts 3 decimals** only for closed bereavement after the Reference date of 28th of June 2023.
- **Bank account number** only for closed bereavement after the Reference date of 28th of June 2023.

B Documents to verify that signatories are authorised to sign

Upload a document showing that these individuals are required to sign the settlement agreement.

<Documents per country rep>

- Examples of documents that can be used as evidence: Legal document proving power of attorney. This may include: birth certificate (for minors), court order
- Copies of original documents are acceptable

<Documents per country inheritance>

FAQ's

Any questions you might have

Below you can find some answers on questions you might have. If you have other questions, please feel free to contact us.

FAQ R2

<TBD>

FAQ R2

<TBD>

Need help?

You can call us Monday through Friday from 09:00 - 17:00
030 694 20 10

How to prepare as an organisation

Triodos Bank

Process

Start reviewing and accepting the settlement offer in a few steps

In order to accept your offer of settlement, you'll need to complete the process in three clearly defined steps.

1 Organisation identification & signing authority

To start the process you will need to identify yourself. Once you have identified yourself, you will see an overview of your related investment accounts.
For an organisation account, we require confirmation of who is authorised to sign on behalf of the organisation. The following label will appear on the screen:

Please confirm signatories

That means that you have to:

A. Provide a complete list of the persons required to sign the settlement agreement (see next page for details)

B. Provide legal proof of authority to sign for each listed signatory (see next page for details)

2 Review, consider and sign the settlement agreement

Once we have successfully verified the identity of all signatories all signatories will receive a personal invitation to access the settlement offer.
The electronical signatures of all authorized and required representatives will constitute the acceptance of the settlement offer, including granting Final Discharge. Please note: In case of several signatories only the last electronical signature will legally constitute acceptance of the settlement offer.

3 Confirmation

Once all signatories have signed the agreement, a confirmation will appear on the screen

Triodos Bank

List of documents

How to prepare as an organisation

A List of authorized signatories

Please specify who need to sign the settlement agreement.

For the settlement agreement to be valid, it must be signed by the person(s) legally authorised to act on behalf of the company or organisation.

Please read carefully - the following is important:

- Enter the personal details of the individuals legally authorised to act on behalf of the company or organisation in order to ensure valid legal representation.
- Fill in the exact details of the signatories as they appear on their identity document. If these details do not match, they will not be able to sign.
- If you are not sure who is authorised to sign, please check with a legal adviser.
- If you are one of the signatories, do not forget to include yourself in the list.

What you need to provide per signatory

- **Initials** as they appear on their identity card
- **First name(s)** as they appear on their identity card
- **E-mail address**
- **Surname(s)** as they appear on their identity card
- **Date of birth** as they appear on their identity card
- **Official job title**

B Documents to verify that signatories are authorised to sign

Upload a document showing that these individuals are required to sign the settlement agreement.

<Documents per country>

- KvK extract
- Articles of Association

FAQ’s

Any questions you might have

Below you can find some answers on questions you might have. If you have other questions, please feel free to contact us.

FAQ R2

<TBD>

FAQ R2

<TBD>

Need help?

You can call us Monday through Friday from 09:00 - 17:00
030 694 20 10